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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Shigenori Aoki

Serial No.: 10/688,518

Filed: October 17, 2003

For: *Cascaded Deflectors for Multi-Channel Optical Switches, and Optical Switching Modules and Methods Having Cascaded Deflectors*

Art Unit: 2874

Examiner: Ellen E. Kim

Atty. Dkt.: 02EK-105270

**CERTIFICATE OF
MAILING/TRANSMISSION
(37 C.F.R. § 1.8A)**

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Jordan Wilson

**INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. §1.56 AND §§1.97-1.98**

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Sir:

The citation listed on the enclosed PTO-1449 Form are submitted under 37 C.F.R. §§1.97 and 1.98, and in compliance with the duty of disclosure as defined in 37 C.F.R. §1.56.

The Examiner is requested to make this citation officially of record in the application. This Information Disclosure Statement is being submitted before receipt of the first Office Action for the above-identified application, therefore, pursuant to 37 C.F.R. §1.97, no fee or certification is required.

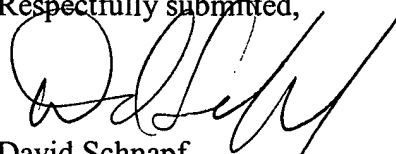
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May 18, 2004

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Respectfully submitted,

A handwritten signature in black ink, appearing to read 'David Schnapf', written over the words 'Respectfully submitted,'.

David Schnapf
Registration No. 31,566

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO.	SERIAL NO.
	02EK-105270	10/688,518
	APPLICANT: Shigenori Aoki	
	FILING DATE:	GROUP ART UNIT:
	October 17, 2003	Unknown



REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAM'R INITIAL		DOCUMENT NUMBER	DATE	NAME	Class	Subclass	Filing Date If Appropriate
	A1	6,504,966	01/07/2003	Kato et al.			
	A2						
	A3						
	A4						
	A5						
	A6						
	A7						
	A8						
	A9						
	A10						

FOREIGN PATENT DOCUMENTS

EXAM'R INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	Subclass	TRANSLAT'N	
							yes	no
	B1							
	B2							
	B3							

OTHER ART (Include Author, Title, Date, Pertinent Pages, Etc.)

	C1	
	C2	
	C3	

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant(s).